# POTENTIAL FUNDING SOURCES

# FOR ADVOCACY PROGRAMS

FEBRUARY, 1979



Compiled by:

Developmental Disabilities
Protection and Advocacy System,
Developmental Disabilities Planning Office,
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### INTRODUCTION

The Minnesota Developmental Disabilities Planning Office and the Protection and Advocacy System offer this guide to potential funding sources as an aid to advocates working for the rights of developmentally disabled persons.

Aside from commitment, energy, and time, advocacy activities require monetary backing. It is hoped persons seeking to begin or extend advocacy programs will find this a helpful resource.

State and national foundations listed within were selected from broader listings because of their mutual concerns and interests. Either through grants awarded in the past or through stated purpose, the selected foundations indicate support for social welfare projects for the handicapped and rights of individuals.

This guide should be considered as a useful reference and starting point in the search for funding. By itself, however, it cannot be considered adequate to provide all the information a grant seeker needs to know. The prospective proposal writer must learn much more about a funding source before making an application; both to save time and to better insure the chance for an interested response. For example, the applicant should look carefully at the type and amount of grants a particular foundation has favored in the past and note any changes in current programs. It is important to know the proposal format, due dates and the review procedures. Know if there are geographic restrictions and the contact person. In short, do your research beforehand.

Minnesota is fortunate to have a valuable resource in Minneapolis for doing such research. Located in the Sociology Department of the Minneapolis Public Library, is the Regional Collection of the Foundation Center of New York City. Other collections are located throughout the United States, Puerto Rico, and Mexico. The Foundation Center is a non-profit, independent corporation supported principally by grants from large foundations. It is dedicated to gathering, analyzing and disseminating factual information about philanthropic foundations. The special reference regional collections thus provide detailed information on foundations and the types and kinds of grants they make, as well as facts on government grants and corporate philanthropy. Materials are available on proposal writing and application procedures.

Hopefully, by utilizing and tapping available resources, advocacy agencies will be able to expand and improve upon their services to developmentally disabled individuals throughout Minnesota.



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Minneapolis, Minn. 55401 / Telephone 372-6500 / Joseph Kimbrough, Director

### FOUNDATION CENTER REGIONAL COLLECTION

The Foundation Center Regional Collection at Minneapolis Public Library and Information Center is a special reference collection which consists of materials containing information on foundations and the grants the foundations make. Materials on government grants, fund raising and philanthropy are also included. The collection is particularly useful to individuals or groups seeking funds for special projects. It provides a starting point for locating funding sources and makes available materials which offer practical help in proposal writing and the application processes.

The basic holdings include the most current IRS tax returns available (Forms 990-AR and 990-PF) for all organizations filing as private foundations in Minnesota, North Dakota and South Dakota; a collection of foundation annual reports; The Foundation Directory; The Foundation Grants Index: The Foundation Center Source Book Profiles; The Foundation Center National Data Book; the Guide to Minnesota Foundations and the Minnesota Foundation Directory. The basic holdings are supplemented by a wide variety of other helpful books, pamphlets and periodicals.

The Foundation Center Regional Collection is located in the Sociology Department at the Central Library and is open Monday through Thursday from 9 a.m. to 9 p.m. and on Friday and Saturday from 9 a.m. to 5:30 p.m. The Library is closed on Saturdays during the summer months.

For further information call or visit:

The Foundation Center Regional Collection Sociology Department Minneapolis Public Library and Information Center 300 Nicollet Mall Minneapolis, MN 55401 (612) 372-6555

# BASIC GUIDE TO INFORMATION ON FUNDING SOURCES (Reproduced with permission of the Foundation Center Regional Collection Mpls. Public Library)

### **FOUNDATIONS**

About Foundations: How to Find the Facts You Need to Get a Grant, by Judith B. Margolin, Foundation Center, rev. ed. 1977, 48 p.

The step-by-step suggestions tell grant seekers where to start their search for infornation and how to research most effectively foundation funding sources. Identifies printed and microfiche sources of foundation data, illustrated with excerpts from the sources. Describes the materials available in the Foundation Center's national and regional collections and in many public libraries.

Foundation Annual Reports, What They Are and How to Use Them, ed. by Henry G. Russell, Foundation Center, 1976, 47 p.

There is a short section outlining how annual reports can be used while researching a foundation. The majority of the book is a list of annual reports available on nicrofiche cards.

Foundations That Send Their Annual Report, comp. & pub. by Public Service Materials Center, 1976, 26 p.

A very limited number of foundations, about 200, will send an annual report upon request. This list is worth checking before doing a large mailing.

### Minnesota Foundations:

<u>Suide to Minnesota Foundations</u>, Minnesota Council on Foundations, 1977, 73 p. This is the first edition of what will be an annual, inexpensive guide. While many entries give only the name and address, the complete information for the major foundations includes name, address, contact person, type of foundation, directors, assets, dollar amount of grants paid, program focus (what they are interested in funding), program limits, guidelines and largest/smallest grant. An appendix includes very useful information, including the excellent "Program Planning & Proposal Writing", from the Grantsmanship Center.

Minnesota Foundation Directory, ed. & pub. by Beatrice J. Capriotti and Frank J. Capriotti III, 101 Boy Scouts of America Bldg., 5300 Glenwood Avenue N., Mpls., MN 55422, 1976.

This expensive, and extensive, directory includes periodic updates. The particular specialty of this directory is that it includes a complete list of the grants awarded by every Minnesota foundation for the latest fiscal year available from the Internal Revenue Service. Also included is when foundation was created, the type of foundation, territory eligible, what they are interested in funding, financial date, principal contributors, foundation managers and contact person. The Deadlines and Guidelines section is a separate listing of 50 foundations. It gives detailed information on how that foundation wants its proposals written, when proposals are due and when the board meets.

jor Foundations Throughout U.S.:

mple grants arranged by subject.

- Foundation Directory, Edition 6, ed. by Marianna O. Lewis, The Foundation Center, 1977, 661 p. Four semiannual supplements.
- guide to the larger United States foundations having assets of more than \$1 million having made total contributions of \$100,000 or more in the year of record. Listing or each foundation includes: address, date and form or organization, donors, statement purpose and description of activities including special limitations, names of officers and trustees, financial statistics for the year of record, name of person to write to address application information. Eighty-two (82) Minnesota foundations are included. Our indexes provide ready access to: fields of interests; state and city locations; times of donors, administrators and trustees; foundation names.
- ne Foundation Grants Index, ed. by Lee Noe, The Foundation Center, 1977, 443 p. annual, cumulative listing of the grants of \$5,000 or more made by 262 major fountions, most of which appeared in the bimonthly journal, Foundation News. Three useful dexes: list of domestic and foreign recipients, list of granting foundations with meir locations, and key word subject index.
- msearch microfiche cards, The Foundation Center, annual.
  Iny funding searches will be in broad subject areas. For these, Comsearch printouts
  Il be helpful. They are developed from the computerized version of the Foundation
  ants Index. Each computer printout lists grants recorded in the data bank for the
  revious year within one of 54 different subject area. The printouts are also available
  a paper copy.
- Foundation Center Source Book Profiles, ed. by Terry-Diane Beck and Alexis Gersumky, 1977/78, 3 vols.
- emprehensive, authoritative profiles of more than 500 national or regional grantmaking bundations. Profiles include: recent fiscal data; all grants made in the year of record; atements of policies, programs and application procedures. There are bimonthly update eets, including a new, cumulated set of indexes by name, subject interests, types of ants awarded, and city and state of location and/or concentration of giving.
- undation Center National Data Book 1974-1976, The Foundation Center, 1977, 2 vols. annual directory which includes 21,700 nonprofit organizations which have been classied as private foundations by the Internal Revenue Service and whose returns show idence of recent grant-making activity. Vol. 1 is an alphabetical listing by fountion name that includes state, IRS Number, total amount of grants paid, assets, gifts ceived, date of information and principal officer. Vol. 2 is a listing of the same undations, arranged by state with foundations in descending grant order. Information cluded is state, name, total amount of grants paid, care of name and address.
- undation Reporter, Taft Products, Sept. 1977, 712 p.
  dis biannual, and expensive, directory reports on major private foundations, with the
  ewest edition including 472 foundations. The introduction says "each is chosen on the
  esis of its level of assets, quantity of grants made annually, its geographic focus
  discontained and its particular fields of interest." Entries include type of foundation,
  pe of grants, grant distribution (geographical area), contact person, information on
  the operation of the foundation, giving level, discussion of the history and fields of
  exterest it will fund, biographical information on officers and directors, and a list of

990-PF and 990-AR Private Foundation Returns, Internal Revenue Service, available from Internal Revenue Service Center, P.O. Box 187, Cornwells Heights, PA 19020. Forms 990-PF and 990-AR are the information returns which private foundations are required to file each year with the IRS. Form 990-PF provides fiscal details on receipts and expenditures, compensation of officers, capital gains or losses, and other financial matters. Form 990-AR provides information on foundation managers, assets, grants paid and/or committed for future payment. The IRS films these two forms and makes them available on paerture cards, which require a microfiche reader to use. The cards for Minnesota, North Dakota and South Dakota are available at the Foundation Center Regional Collection, Minneapolis Public Library. They can also be ordered from the IRS. Currently the entire set of cards for MN is \$181.00.

#### GOVERNMENT

Catalog of Federal Domestic Assistance, Office of Management and Budget, 1978, 1000+ p. This directory, updated annually, lists grants available from U.S. government agencies. Arranged by agency, each type of grant listing includes authorization, objectives, type of assistance, uses and use restrictions, eligibility requirements, application and award process, assistance considerations, post assistance requirements and where to get information. It also lists other programs in the catalog that are related. There are several useful indexes.

For current update on U.S. government grants, one should refer to the <u>Commerce Business</u>

<u>Daily</u> and the <u>Federal Register</u>. The grant information from the <u>Federal Register</u> is

<u>cumulated</u> in the bimonthly periodical, <u>Grantsmanship Center News</u>.

How to Get Government Grants, by Philip Des Marais, Public Service Materials Center, 1975, 155 p.

This highly regarded "lesson book" discusses general policy in government grantmaking, agencies, application and proposals, and reporting. There is also advise on organizing grant operations and case studies on getting a grant. There are sample forms throughout.

The Art of Winning Government Grants, by Howard Hillman and Kathryn Natala, Vanguard Press, 1977, 246 p.

The process is organized into six "grant-seeking phases" which give detailed information on how to proceed. There is then discussion of "where the money is", including quasi-government agencies and state and local government agencies. Then information sources, including both written materials and information centers are listed and discussed.

#### CORPORATIONS

Before you begin your research into corporate funding, it is highly recommended that you read:

Shakely, Jack, "Exploring the Elusive World of Corporate Giving," <u>Grantsmanship Center News</u>, v. 3, no. 5, July-Sept. 1977, pp 35-59. Of particular interest in this article is the section on "researching corporate giving", p. 46-50 and "approaching corporations", p. 50-51. The "types of corporate support," p. 52-54 is also very useful as it demonstrates support, besides direct money, for which corporations can be approached.

the Shakely article will warn you about the limitations of the researching tools mentioned below.

<u>Iandbook of Corporate Social Responsibility</u>: Profiles of Involvement, comp. & ed. by Human Resources Network, Chilton Book Co., 2nd ed., 1975.

Corporation, 1977, v. 6, 78 p. Programs and Policies, BankAmerica

Laft Corporate Foundation Directory, Taft, 1977, 373 p.

It this point it is not certain how often this expensive directory will be updated. It lists 276 company-sponsored foundations. Entries include sponsoring company, contact verson, grant distribution, type of grants, areas of interest, officers and board members, total assets and grants, range of grants, average grant, sample grants, special procedures, products of sponsoring company, sales of sponsoring company, corporate operating locations. This last item could be particularly useful, but it often just says "numerous". It is the Shakely article mentions, it is more important to use basic corporation directories, to determine where companies are located, since they are the most likely to consider funding in your area.

<u>linnesota Directory of Manufacturers</u>, Research Division, Minnesota Dept. of Economic Development, 1977-78.

'he "c" section lists the manufacturers by city.

Dun and Bradstreet Middle Market Directory, Dun & Bradstreet, 1978.
This includes business enterprises in the United States with an indicated worth of 500,000 to \$999,999. One section lists them by state and city.

<u>Jun and Bradstreet Million Dollar Directory</u>, Dun & Bradstreet, 1978. This includes business enterprises in the United States with an indicated worth of 31,000,000 or more.

### HUMANITIES

Private Foundations and Business Corporations Active in Arts/Humanities/Education, by Daniel Millsaps and editors of Washington International Arts Letter, vol. 2, 1974, 264 p.

While the information is dated and would have to be reconfirmed in newer foundation and corporate listings, this could be a good starting point. Listings are coded to specify areas the agency will fund - such as H for Humanities. Entries list name, address, officers and sample grants. Follow-up is definitely needed, for example, the Northwest area Foundation is still listed as the Hall Family Foundation.

### HOW-TO-DO-IT

There are many others. These are the most highly recommended.

Program Planning & Proposal Writing, by Norton J. Kiritz, The Grantsmanship Center, 1974, 8 p.

This excellent outline for a proposal format that could be adapted to other required forms, first appeared as an article in the <u>Grantsmanship Center News</u>. It is available as a separate pamphlet.

Basic Guide to Information on Funding Sources

The Bread Game; the Realities of Foundation Fundraising, rev. ed., 1974, Glide Publications, 96 p.

This book provides basic information needed on foundations, proposal writing, forming as a tax exempt organization, suggested accounting procedures and reporting on a grant. Sample proposals are included. There is also a checklist of what will generally be required in a grant.

The Proposal Writers Swipe File; Twelve Professionally Written Grant Proposals Prototypes of Approaches, Styles and Structures, ed. by Jean Brodsky, Taft
Products, 1973, 135 p. and The Proposal Writers Swipe File II: Fourteen
Professionally Written Proposals, ed. by Jean Brodsky, Taft, 1976, 136 p.
Each book contains actual proposals that can be used as samples for writing one.

Booklist by Suzanne LeBarron Office of Public Libraries

### QUESTIONS FOUNDATIONS ASK IN REVIEWING

### GRANT APPLICATIONS

By Arthur Himmelman
Minnesota Foundation
for the
Minnesota Council on Foundations

The following observations concerning the kinds of questions foundations ask in reviewing grant applications are not necessarily asked by all foundation staff or directors. I am sure that there are items other staff and directors would add to or delete from the following inventory. What is outlined here, however, should be helpful to those desiring some insight into the judgements commonly made by foundations in deciding the merits of most grant applications. In saying this, I wish to point out that my discussion will not focus on the "nuts and bolts" of a grant application; for information about application requirements, the reader is referred to staff of the foundation being asked for assistance.

Without claiming that the following points are exhaustive of the topic, let us examine seven questions which might be asked

during a routine grant analysis:

Given the large numbers of requests, for both private and public support, how important is the program under review? In answering this question, an applicant may not know how a specific foundation will rank a child development proposal or an adult rehabilitation project. By making an inventory of private and public agencies, however, some kind of general community consensus will emerge concerning what is being done, and needs to be done, in the area of the applicant's concern. No matter the particular emphasis on an individual foundation (and an applicant should ask if the program proposed meets a particular foundation's current guidelines and interests), your program will be assessed in terms of community needs. Include therefore an analysis of your assessment and give some indication that you have thought about the question of priorities and very limited foundation dollars. Even if a foundation does not initially share your views, your attempt to think about this problem will be appreciated, and your argument may change established policies.

- 2. In addition to presenting an analysis of community need in general, have you focused on an aspect of that need which you can address? Often an application does an excellent job of making a presentation of a problem area. Unfortunately, the application also seems to indicate that it will attempt to solve "the whole thing." You must measure your resources carefully, and limit your program or project to those tasks which can be accomplished with a high level of competence. This is important not only because foundations want to support good programs, but also because your program will be demonstrating its effectiveness to your next funding source. Foundation support, it must be remembered, is only temporary and transitional. Do not count on ongoing foundation support because you have received it initially.
- 3. A corollary of one and two, and a practical question: are other organizations working on the problem area outlined in your proposal? If so, can you identify them, and can you coordinate your program with theirs? It is helpful to provide foundations with an analysis of how other programs are currently attempting to serve the clientele targeted by your application. Foundations will make an inventory of such programs, and therefore you should be knowledgeable about possible duplications of effort.
- 4. How genuine is the applicant's concern about the problem presented in the application? This, of course, is a highly subjective judgment on the part of a foundation. There are, nevertheless, measures which many on both sides of the grant-making process might employ:
  - a. How long has the applicant been working on the problem area? An application is generally not impressive which indicates that a "staff" has suddenly discovered a community need (which no doubt has been recognized for a long time), and that the staff has not had a record of previous community service. In saying this, it is important to emphasize that community service need not be paid employment, but whether employed or volunteer, such service must be sufficient to pass the tests of references and recommendations.
  - b. How many ways has the applicant sought program and financial support? It generally shows a lack of realism when an applicant has only sought the commitment of a single foundation. Not only should several foundations at least be considered, but also a variety of appropriate public and private sources. An energetic effort to realistically involve a range of funding sources most often shows concern on the part of the applicant.
  - c. Are we looking at a person seeking a position or a program concept needing financial backing? Again, a somewhat difficult distinction to make because often the person presenting the proposal is vital to the program. If this is the case, however, a record of experiences should be easily documentable through references.

- 5. Does the application have a plan for ongoing financial and program support? If the applicant can be designated "a", the foundation support "b", and ongoing support "c" it is often possible to form the following equation: a + c = b. Foundations are not often interested in making grants to programs which have no ongoing support planned and reasonably assured. Foundations tend to want to provide "b" for bridge, between a new program and ongoing support. It makes good sense, therefore, to discuss your program with agencies, institutions or organizations which could provide ongoing support before applying to foundations for assistance. Almost all foundations will ask about program or project support after the foundation grant is exhausted. Your application will generally be stronger if you think this out beforehand.
- 6. Does the application indicate the availability of an effective staff and board of directors? I have already suggested that staff experience and credibility are very important. Make sure documentation of this matter is clear. In addition to staff, a program must also be given direction and guidance by the board of directors. A board is legally responsible for all matters related to the operation of an organization, including receiving foundation grants and insuring that funds will be used according to a grant agreement. To a foundation, however, a board also means much more:
  - a. A board represents a council of advisors that can contribute expertise in developing programs and measuring program effectiveness.
  - b. A board can concern itself with the "forest" while the staff must attend to the "trees". Day-to-day program management is a staff responsibility, and often one which makes it difficult to judge the larger context in which the program operates. A board must retain the contact with client and community which ultimately can justify the need for service.
  - c. A board also reflects an organization's ability to raise funds while simultaneously representing a clientele or community. Both these tasks are vital, and quite often the responsibility of a board of directors. If a program is not backed by a board that does or can seek financial and community support, then a grant application loses credibility with foundations.
- All of this implies that an applicant has some understanding of board-staff relationships (an application showing staff members on the board of directors means little understanding exits). If you do not know much about this area of program development, attempt to find resource people who can help you. It will not only improve your application, but it will also make for a stronger program.

7. Lastly, how will you and the foundation know that you have accomplished what you have planned to accomplish? A good grant application includes criteria, both quantitative and qualitative, that a foundation can use to monitor the progress of your program or project. Evaluative criteria are also very important because they allow you to make adjustments when necessary during the grant period, and thereby improve your program as it develops. In establishing an evaluation plan, you will find out a great deal about your goals and objectives. Evaluation makes you clarify, in an immediate sense, your basic purpose and strategies for action. Think carefully about evaluation because, an an old Chinese proverb states, "If we don't watch where we are going, we are likely to wind up where we are headed."

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POTENTIAL FUNDING SOURCES: FEDERAL PROGRAMS

### Sources:

Catolog of Federal Domestic Assistance Office of Management and Budget

(and other materials from Foundation Center Regional Collection Minneapolis Public Library)

### ACTION

# MINI GRANT PROGRAM MOBILIZING VOLUNTEERS IN HUMAN AND SOCIAL SERVICE FIELD

PROGRAM DESCRIPTION: ACTION, the federal government's volunteer coordination agency, is making available small amounts of seed money to mobilize volunteers to work on human, social, and environmental needs, particularly those related to poverty. Provides one-time non-renewable funds, the federal share of which will not exceed \$5,000. If amount requested is more than \$2,000, a cash or in-kind match must accompany.

APPLICANT ELIGIBILITY: Any local public or private non-profit organization.

INFORMATION CONTACT: Local ACTION office: ACTION

Room 104

Old Federal Building 212 Third Avenue, South Minneapolis, Mn. 55403

### ACTION

### SENIOR COMPANION PROGRAM

PROGRAM DESCRIPTION: To provide part-time service opportunities for low income persons age 60 and over and to provide supportive person-to-person services to persons (other than children) with special or exceptional needs through development of community oriented, cost-shared projects.

USES AND USE RESTRICTIONS: The grants may be used for: staff salaries, Senior Companion stipends, staff fringe benefits, staff travel, Senior Companion transportation, physical examinations, meals at cost, plus actual cost of laundering uniforms/smocks, equipment, space costs, etc. Assignment of Senior Companions to persons (other than children) with special needs may occur in residential and non-residential facilities and to older persons in their own homes. Funds are not available for construction. JOINT FUNDING: This program is considered particularly suitable (eligible) for joint funding with other closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as particularly suitable or eligible for joint funding, applicant may consult the headquarters or field office of the appropriate funding agency for futher information on statutory or other restrictions involved.

APPLICANT ELIGIBILITY: Any public or nonprofit private agency or organization may apply including State and local governments. Applicants interested in exploring funding must prepare the "ACTION Preliminary Inquiry Form" (A-563) available from and to be submitted to ACTION Regional Offices. The standard application forms (ACTION Form A-263 and Form A-566) must be used for this program. Formal applications are submitted to ACTION when advised by the agency; a copy of the application is sent by the applicant to the State office on aging. An agency or institution which provides a program setting for volunteers cannot serve as sponsor of the program.

BENEFICIARY ELIGIBILITY: Senior Companions must be: 60 years of age or over, with an annual income at or below levels set by the Director of ACTION, interested in serving persons (other than children) and must be physically, mentally, and emotionally capable of serving the selected adults on a personto-person basis.

RANGE AND AVERAGE OF FINANCIAL ASSISTANCE: \$115,000 to \$250,000.

INFORMATION CONTACTS: Director, Older Americans Volunteer Programs ACTION

806 Connecticut Avenue, N.W. Washington, D.C. 20525

### OFFICE OF EDUCATION

### HANDICAPPED EARLY CHILDHOOD ASSISTANCE

### (EARLY EDUCATION PROGRAM)

PROGRAM DESCRIPTION: To support experimental preschool and early childhood programs for handicapped children.

USES AND USE RESTRICTIONS: Grants are given to projects to demonstrate exemplary services to handicapped children from birth through eight years of age. Parent participation, dissemination of information to the professional community and general public, and evaluation of the effectiveness of each project are included. JOINT FUNDING: This program is considered particularly suitable (eligible) for joint funding with other closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as particularly suitable or eligible for joint funding, applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

APPLICANT ELIGIBILITY: Public agencies and private nonprofit organizations.

BENEFICIARY ELIGIBILITY: Same as applicant eligibility.

RANGE AND AVERAGE OF FINANCIAL ASSISTANCE: \$50,000 to \$150,000; \$100,000.

### INFORMATION CONTACTS:

Program Development Branch
Handicapped children's Early Education Assistance
Program Development Branch
Division of Innovation and Development
Office of Education
400 Maryland Avenue S.W.
Washington, D.C. 20202
202/245-9722

### OFFICE OF EDUCATION

### HANDICAPPED INNOVATIVE PROGRAMS-DEAF-BLIND CENTERS

### (CENTERS AND SERVICES FOR DEAF-BLIND CHILDREN)

PROGRAM DESCRIPTION: To establish regional centers to provide all deafblind children the following: (1) comprehensive diagnostic and evaluative services; (2) a program for their education, adjustment, and orientation, and (3) effective consultative services for their parents, teachers, and others involved in their welfare.

USES AND USE RESTRICTIONS: Grants and/or contracts may be used to provide those services listed under Objectives above and in addition, in-service training, dissemination of materials and information, and construction.

JOINT FUNDING: This program is considered particularly suitable (eligible) for joint funding with other closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as particularly suitable or eligible for joint funding, applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

APPLICANT ELIGIBILITY: Public or nonprofit agencies, organizations, or institutions. A grant or contract shall be made only if the Deputy Commissioner of the Bureau of Education for the Handicapped determines that there is satisfactory assurance that the center will provide such services as stated in Public Law 91-230, Part C, Section 622(d) (A, B, C), Title VI, Education of the Handicapped Act.

BENEFICIARY ELIGIBILITY: Residential or day schools for aurally or visually handicapped children, or those with learning disabilities; institutions of higher education; agencies serving children with visual, auditory, language, speech and/or learning disabilities; medical or research facilities; State educational agencies; or public or private nonprofit agencies, organizations or institutions developed or modified especially to serve as the coordinating agency for a Deaf-Blind Center.

RANGE AND AVERAGE OF FINANCIAL ASSISTANCE: \$600,000 to \$1,600,000; \$1,600,000.

### INFORMATION CONTACTS:

Centers and Services for Deaf-Blind Children Bureau of Education for the Handicapped Division of Assistance to States Office of Education 400 Maryland Avenue S.W. Washington, D.C. 20202 202/472-4825

### OFFICE OF EDUCATION

## HANDICAPPED INNOVATIVE PROGRAMS -- PROGRAMS FOR SEVERELY HANDICAPPED CHILDREN

PROGRAM DESCRIPTION: To improve and expand educational/training services for severely handicapped children and youth; and improve the general acceptance of such people by the general public, professionals, and possible employers.

USES AND USE RESTRICTIONS: Contracts must be designed to provide comprehensive diagnostic, prescriptive, education/training, and evaluative services to severely handicapped children and youth, in service training of staff, dissemination of materials and information, and construction. Research and teacher preparation are outside of program's intent, except to the extent necessary for support of the approvable program. Evidence of coordination of project with agencies serving the same population must be provided including the indication of consonance of the project with State planning for handicapped children. JOINT FUNDING: This program is considered particularly suitable (eligible) for joint funding with closely related Federal funancial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as particularly suitable or eligible for joint funding, applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

APPLICANT ELIGIBILITY: Public and non-profit private agencies, organizations, or institutions, including State department of special education, intermediate or local educational agencies, institutions of higher learning professional organizations and volunteer associations.

BENEFICIARY ELIGIBILITY: Severely handicapped children and youth who require special education/training and related services.

RANGE AND AVERAGE OF FINANCIAL ASSISTANCE: \$64,000 to \$277,000; Average: \$140,000.

### INFORMATION CONTACTS:

Projects for Severely Handicapped Children and Youth Special Services Branch Bureau of Education for the Handicapped 400 Maryland Ave., SW (Donohoe, Room 4046) Washington, D.C. 20202 202/472-4825

### OFFICE OF EDUCATION

# HANDICAPPED TEACHERS RECRUITMENT AND INFORMATION

PROGRAM DESCRIPTION: To provide grants or contracts to improve recruitment to educational personnel and to improve dissemination of information concerning educational opportunities for the handicapped.

USES AND USE RESTRICTIONS: To develop models relating to recruitment of various professional and nonprofessional personnel for work with handicapped children throughout the country. Proposals relating to the dissemination of information about educational facilities for handicapped are requested. No restriction. JOINT FUNDING: This program is considered particularly suitable (eligible) for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as particularly suitable or eligible for joint funding, applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

APPLICANT ELIGIBILITY: Public or nonprofit agencies, organizations, or institutions, public or private agencies, organizations, or institutions.

BENEFICIARY ELIGIBILITY: Same as applicant eligibility.

RANGE AND AVERAGE OF FINANCIAL ASSISTANCE: \$50,000 to \$250,000; \$175,000.

### INFORMATION CONTACTS:

Bureau of Education for the Handicapped Department of Health, Education, and Welfare Office of Education 400 Maryland Avenue, SW Washington, D.C. 20202 202/245-9661

### OFFICE OF HUMAN DEVELOPMENT SERVICES

### DEVELOPMENTAL DISABILITIES -- SPECIAL PROJECTS

PROGRAM DESCRIPTION: To provide partial support to improve the quality of services to the developmentally disabled; public awareness and informational programs; demonstration of new or improved service techniques and service delivery; training; coordination of available community resources; and providing technical assistance. Not less than 25 percent of funds available shall be used for projects of national significance.

USES AND USE RESTRICTIONS: Project grants are approved for personnel, equipment, travel, supplies, etc. Duplicative Federal assistance is precluded. JOINT FUNDING: This program is considered particularly suitable (eligible) for joint funding with other closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as particularly suitable or eligible for joint funding, applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

APPLICANT ELIGIBILITY: States, political subdivisions of states, other public agencies, and nonprofit organizations are eligible.

BENEFICIARY ELIGIBILITY: Persons with developmental disabilities attributable to mental retardation, cerebral palsy, epilepsy, or autism.

RANGE AND AVERAGE OF FINANCIAL ASSISTANCE: \$1,400 to \$238,000; \$53,000.

INFORMATION CONTACTS: Director, Developmental Disabilities Office

Office of Human Development

Department of Health, Education, and Welfare

Washington, D.C. 20201

202/245-0335

### OFFICE OF HUMAN DEVELOPMENT SERVICES

# TITLE III SECTION 308 MODEL PROJECTS ON AGING (OAA MODEL PROJECTS ON AGING)

PROGRAM DESCRIPTION: To demonstrate new approaches, techniques and methods which hold promise to contributing toward wholesome and meaningful living for older persons; improve the coordination and quality of social and other services for older persons.

USES AND USE RESTRICTIONS: Grants may be awarded for projects that develop, demonstrate, or study new and innovative methods, techniques, or approaches to: (1) coordinate the community's various social, health and welfare services so as to increase their efficiency for older people; (2) develop new services and new patterns of services to older people; and (3) increase older people's opportunities to participate in community life and retain social productivity. Program priorities are: (1) housing and living arrangements; (2) pre-retirement; (3) continuing education; (4) needs of the physically and mentally impaired; (5) ombudsman activities; (6) day care; and (7) improvements for minorities, limited English-speaking and rural elderly. JOINT FUNDING: This program is considered particularly suitable (eligible) for joint funding with other closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as particularly suitable or eligible for joint funding, applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

APPLICANT ELIGIBILITY: Any public or non-profit agency, institution, or organization, engaged in activities related to serving the needs of older people or the field of aging. Grants are not available to individuals, even though they may be affiliated with a public or non-profit organization. Contracts for selected purposes may be made with any public or private agency, organization or with any individual.

BENEFICIARY ELIGIBILITY: All Americans 60 years of age and older, and those of pre-retirement age.

RANGE AND AVERAGE OF FINANCIAL ASSISTANCE: \$25,000 to \$300,000; \$100,000.

### INFORMATION CONTACTS:

Chief, Research Applications Demonstrations Administration of Aging Office of Human Development Department of Health, Education, and Welfare Washington, D.C. 20201 202/245-2143

### PUBLIC HEALTH SERVICES

### FAMILY PLANNING PROJECTS

PROGRAM DESCRIPTION: To provide educational, comprehensive medical and social services necessary to enable individuals to freely determine the number and spacing of their children and to help reduce maternal and infant mortality.

USES AND USE RESTRICTIONS: Grants may be used for contraceptive advice and services to patients including a variety of medically approved methods of contraception, including natural family planning methods; counseling and interpretation to individuals of services provided; physical examinations, including cancer detection tests, diagnostic and treatment services for infertility, contraceptive supplies on continuous basis, and periodic follow up examinations. These services must be available without coercion and with respect for the privacy, dignity, social and religious beliefs of the individuals being served. Funds may not be used for purchase or construction of buildings; salaries of personnel paid from other Federal grant funds; and certain other miscellaneous items as specified in the regulations. Priority in the provision of services will be given to persons from low-income families. None of the funds awarded shall be used to provide abortions as a method of family planning. JOINT FUNDING: This program is considered particularly suitable (eligible) for joint funding with other closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as particularly suitable or eligible for joint funding, applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

APPLICANT ELIGIBILITY: Any public or nonprofit private entity located in a State (the District of Columbia, Puerto Rico, Guam, American Samoa, the Virgin Islands, and the Trust Territory of the Pacific Islands) is eligible to apply for a grant.

BENEFICIARY ELIGIBILITY: Persons who desire family planning services and who would not otherwise have access to them. Priority to be given to persons from low-income families.

RANGE AND AVERAGE OF FINANCIAL ASSISTANCE: \$20,000 to over \$1,000,000; \$250,000.

INFORMATION CONTACTS: Associate Bureau Director for Family Planning Services. BCHS

1.1. Constant Al database

Health Services Administration, DHEW

5600 Fishers Lane Rockville, MD 20857

301/443-2430

Contact: Ernest Peterson

### DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

### COMMUNITY PLANNING AND DEVELOPMENT

### COMMUNITY DEVELOPMENT BLOCK GRANTS/DISCRETIONARY GRANTS

PROGRAM DESCRIPTION: To develop viable urban communities including decent housing and a suitable living environment, and expand economic opportunities, principally for persons of low income and moderate income.

USES AND USE RESTRICTIONS: Generally, as in the case of entitlement grants, most activity previously eligible under the categorical program consolidated under the Act, and defined by the statute and regulations may be carried out, i.e. acquisition, rehabilitation or construction of certain public works facilities and improvements, clearance, housing rehabilitation, code enforcement, relocation payments and assistance, administrative expenses, and completing existing urban renewal projects. Communities are restricted, from constructing or rehabilitating public facilities for the general conduct of government and certain community wide facilities, i.e. central libraries, stadiums, sports arenas, cultural centers, convention centers; and from underwriting the cost of constructing new housing or of making housing allowance or other income maintenance-type payments. JOINT FUNDING: This program is considered particularly suitable (eligible) for joint funding with closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as particularly suitable or eligible for joint funding, applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved. Discretionary grants are made on a competitive basis to units of local and county governments and to States on behalf of units of local government, which are not eligible for Community Development Block Grants (CDBC) Entitlement Funds, OMB 14.218.

CDGB Funds may be used for construction of, rehabilitation of, and site acquisition for "centers for the handicapped." The funds may also be used for the demolition of existing structures, conversion of existing structures and barrier removal for "centers for the handicapped." A "center for the handicapped" is defined as ... any single or multipurpose facility which seeks to assist persons with physical, mental, developmental and/or emotional impairments to become more functional members of the community by providing programs or services which may include, but are not limited to, recreation, education, health care, social development, independent living, physical rehabilitation and vocational rehabilitation, but excluding a facility, the primary function of which is to provide residential care on a 24-hour day basis (such as a group home or halfway house). For example, a sheltered workshop would be a single purpose center for the handicapped, and a facility providing several services for the handicapped would be a multi-purpose center for the handicapped, both of which are eligible for assistance.

APPLICANT ELIGIBILITY: Applicant may be eligible for grants from one or more of the three sources of discretionary funds: (1) General Purpose Fund: funds remaining after entitlement and hold harmless obligations are met; applicants are states and units of general local government, except for metropolitan cities and urban counties. (2) Secretary's Fund: two percent of the total funds each year is set aside in a national discretionary fund for grants to communities; to assist "new communities;" to carry out area wide housing and community development programs; in Guarm, the Virgin Islands, American Samoa, and the Trust Territory of the Pacific Islands; to meet emergency community development needs caused by federally recognized disasters; to carry out innovative projects; and to correct inequities arising from the formula allocation. (3) Urgent Needs Funds: a special fund intended to help in bridging the gaps between old categorical programs and the new block grants.

BENEFICIARY ELIGIBILITY: See applicant eligibility.

RANGE AND AVERAGE OF FINANCIAL ASSISTANCE: Information not available.

INFORMATION CONTACTS: Contact HUD Area Office (or Regional Office in Region VIII); also Community Planning and Development, 451 7th Street, S.W., Washington, D.C. 20410.

### DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

### HOUSING-FEDERAL HOUSING COMMISSIONER

LOWER-INCOME HOUSING ASSISTANCE PROGRAM
(SECTION 8-HOUSING ASSISTANCE PAYMENTS PROGRAM FOR
LOWER INCOME FAMILIES)

PROGRAM DESCRIPTION: To aid lower-income families in obtaining decent, safe and sanitary housing in private accommodations and of promoting economically mixed housing through existing, newly constructed, and substantially rehabilitated housing.

USES AND USE RESTRICTIONS: Provides annual contributions to permit participating owners, developers and Public housing agencies to provide decent, safe and sanitary housing for lower and very low-income families at rents they can afford. The Housing Assistance payments are used to make up the difference between the established maximum rent paid to owners for the dwelling unit and the occupant family's required contribution toward rent. Assisted families will be required to contribute not less than 15 nor more than 25 percent of their adjusted family income toward rent. At least 30 percent of the families assisted with annual contract authority allocations must be families with gross incomes not in excess of 50 percent of area median income, subject to adjustment by the Secretary.

APPLICANT ELIGIBILITY: Any private owner, profit-motivated and non-profit, cooperative, or an authorized public housing agency (any State, county, municipality or other governmental entity or public body or agency or instrumentality thereof) which is authorized to engage in or assist in the development of operation of housing for low-income families.

BENEFICIARY ELIGIBILITY: Very low-income families (whose income does not exceed 50 percent of the median income for the area as determined by the Secretary with adjustments for smaller and large families); lower income families (whose income does not exceed 80 percent of the median income for the area). Within income limits as defined by HUD, a single person who is elderly, disabled or handicapped, displaced, or the remaining member of an eligible tenant family is also eligible.

RANGE AND AVERAGE OF FINANCIAL ASSISTANCE: Amount necessary to lease units and cover related management and maintenance operating expenses including utilities, not to exceed HUD approved reasonable rents for newly constructed or existing comparable units, offering equivalent accommodations, utilities and services, for the housing area in which the units will be located.

INFORMATION CONTACTS: Director, Office of Assisted Housing
Department of Housing and Urban Development
Washington, D.C. 20410
202/755-5656

### DEPARTMENT OF LABOR

### EMPLOYMENT AND TRAINING ADMINISTRATION

### EMPLOYMENT AND TRAINING RESEARCH AND DEVELOPMENT PROJECTS

PROGRAM DESCRIPTION: To support employment and training studies needed to develop policy and programs for achieving the fullest utilization of the Nation's human resources; to develop, through actual project operation, new ideas and improved techniques, and to demonstrate the effectiveness of specialized methods in meeting the employment, and training problems of worker groups particularly difficult to employ.

USES AND USE RESTRICTIONS: (1) Research projects are for the purpose of development of employment and training knowledge with special emphasis on generalized policy and program applications. Studies may be limited to special areas only where they can be shown to provide a basis for generalized conclusions or to have application over a wide area. (2) Although service is not the primary function of experimental and demonstration projects, the demonstration population participating in them receives services specific to the project design. Project focus on distinctive training or employment problems which are not being met effectively by established employment and training service programs and seek to determine how to meet such problems through new techniques. Funds can be used to finance all operating costs required by specified project designs (such as administrative costs, training, counseling, recruiting, job development, and placement). (3) Experimental and demonstration funds are concentrated on developing new techniques which might significantly guide overall policy and programming. They are not available to extend methods developed in some areas or occupation (even though such projects might have distinctive elements and be useful for the particular area) or to make up for what essentially are inadequacies in the level of resources available for manpower programs. Research and development projects facilitating the implementation of CETA State and local employment and training program operations are encouraged. Such projects should be of general applicability. JOINT FUNDING: This program is considered particularly suitable (eligible) for joint funding with other closely related Federal financial assistance programs in accordance with the provisions of OMB Circular No. A-111. For programs that are not identified as particularly suitable or eligible for joint funding, applicant may consult the headquarters or field office of the appropriate funding agency for further information on statutory or other restrictions involved.

APPLICANT ELIGIBILITY: Academic institutions, State and local government organizations and other organizations and individuals capable of fulfilling the objectives of the programs. There are no formal guidelines or conditions grantees must meet other than that they have demonstrated financial responsibility and competence to fulfill the terms of the contract or grant.

BENEFICIARY ELIGIBILITY: In the case of research projects beneficiary eligibility is same as applicant eligibility. With respect to experimental and demonstration projects, eligibility is determined by the specific design of each project; this is a function of the objectives of the project and the characteristics of the target group.

RANGE AND AVERAGE OF FINANCIAL ASSISTANCE: \$1,000 to \$1,000,000; \$175,000. Research projects average somewhat less than experimental and demonstration projects.

INFORMATION CONTACTS: Director, Office of Research and Development

Employment and Training Administration

Department of Labor Washington, D.C. 20213

### POTENTIAL FUNDING SOURCES:

STATE FOUNDATIONS
NATIONAL FOUNDATIONS

### Sources:

Minnesota Foundation Directory
Beatrice J. Capriotti & Frank J. Capriotti III

(and other materials from the Foundation Center Regional Collection Minneapolis Public Library)

National Foundations: Foundation Directory (File 26)
Lockheed Information Systems DIALOG Service
Used by permission of The Foundation Center,
888 Seventh Avenue, New York, New York 10019

### POTENTIAL FUNDING SOURCES:

### MINNESOTA FOUNDATIONS

### A. Grants over \$1,000,000

Foundation Name	Purpose	Reporting Year	Grants Total & Range
Charles K. Blandin Foundation 203 First Natl. Bank Bldg. P.O. Box 630 Grand Rapids, MN 55744	Social welfare, health, education, science and research	1976	\$1,094,201 (\$23- \$218,921)
The Bush Foundation W-962 First Natl. Bank Building St. Paul, MN 55119	Includes physically handi- capped, youth, welfare, medical (\$1,383,020 given alone in areas of health and rehabilitation, youth, and family services, law and corrections for 1977	1977	\$9,879,189 (\$1,500- \$200,000)
Dayton Hudson Foundation 777 Nicollet Mall Minneapolis, MN 55402	Social action programs with emphasis on disadvantaged and youth, arts institutions and projects	1976	\$5,253,136 (\$10- \$250,000)
General Mills Foundation 9200 Wayzata Blvd. Box 1113 Minneapolis, MN 55440	Social welfare and health, education, civic, cultural	1977	\$3,271,815 (\$500- \$200,000)
Honeywell Fund Honeywell Plaza Minneapolis, MN 55408	Includes mental health, minorities opportunities, physically handicapped, youth welfare	1976	\$1,248,700 (\$275- \$334,580)
The McKnight Foundation Suite 405Shelard Plaza Minneapolis, MN 55426	Includes human and/or social service programs seeking to alleviate the multiplicity of problems affecting the poor and minorities	1976	\$5,113,841 (\$250- \$600,000)

### Grants over \$1,000,000 (continued)

Foundation Name	Purpose	Reporting <u>Year</u>	Grants Total & Range
Minn. Mining & Mfg. Foundation, Inc.	Community development and education emphasized but	1976	\$1,868,502
3M Center, tax-220-13W St. Paul, MN 55101	some support for others, including physically handicapped, youth, minorities relations		(\$30- \$230,000)
Northwest Area Foundation W-975 First National Bank	Broad purposes, including human services; principal	1977	\$6,248,080
Building St. Paul, MN 55101	interest is in experimental and demonstration projects for which general support is lacking		(\$100- \$421,048)
The Phillips Foundation c/o Samuel H. Maslon	Broad purposes including statewide giving, rehabili-	1975	\$1,323,467
Midwest Plaza, West Bldg. Minneapolis, MN 55402	tation, mental health, handicapped		179 grants (\$8- \$588,042)
The St. Paul Foundation	Includes health, welfare activities in the greater	1975	\$1,315,670
Building St. Paul, MN 55101	St. Paul area		(\$258- \$750,000)

Grants \$500,000 - \$1,000,000

Foundation Name	Purpose	Reporting Year	Grants Total & Range
AHS Foundation W-555 First National	Broad areas include youth and family	1976	\$698,620
Bank Building St. Paul, MN 55101			(\$1,000- \$25,000)
Andersen Foundation c/o Andersen Corporation	General giving, with emphasis on higher education; grants	1975	\$519,750
Bayport, MN 55003	also for the handicapped,		44 grants
	medical research, youth agencies, and hospitals		(\$300- \$50,230)
	ageneres, and nospitals		+30 <b>,1</b> 30,
F. R. Bigelow Foundation	Broad purposes	1976	\$685,626
1120 Northwestern Bank Bldg. St. Paul, MN 55101			(\$500 <del>-</del> \$99,995)
51. faul, fix 55101			<i><b>4</b>33<b>,</b>333</i> ,
Otto Bremer Foundation 386 North Wabas	Human services, community service, health, education,	1976	\$749,782
St. Paul, MN 55102	religion, physically		(\$200-
	handicapped		\$25,000)
Burlington Northern Foundation	Social and welfare cause, education, cultural and youth	1976	\$521,513
176 East Fifth Street	program		(\$25 <b>-</b>
St. Paul, MN 55101			\$22,500)
The Cargill Foundation	Grants generally to local and	1975	\$957,850
1200 Cargell Bldg. Minneapolis, MN 55402	state welfare, health and education organizations		
		1076	6610 260
Deluxe Check Printers Foundation	Includes social welfare, youth, medical	1976	\$619,360
1080 West County Road F			(\$500 <del>-</del>
P.O. Box 3399 St. Paul, MN 55165			\$23,820)

Grants \$500,000 - \$1,000,000 (continued)

Foundation Name	Purpose	Reporting Year	Grants Total & Range
General Service Foundation c/o John M. Musser	Population, environment, conservation; preference for	1976	\$812,550
First National Bank Bldg.	experimental, demonstration,		(\$1,500-
St. Paul, MN 55101	and research projects		\$93,500)
Mardag Foundation 1120 Northwestern Bank Bldg.	Broad purposes which include grants to social service	1976	\$630,993
St. Paul, MN 55101	agencies and other agencies		(\$2,500-
	offering rehabilitation, recreation, and counseling to children and adults		\$250,000)
The Minneapolis Foundation	Areas of health, welfare and	1977	\$784,179
400 Foshay Tower 821 Marquette Avenue	education. Interested in agencies responding in both		. (\$30-
Minneapolis, MN 55402	innovative and traditional		\$100,000)
	ways to demands upon our rapidly changing society. Interested in poor and		
	under-privileged		
The Pillsbury Company	Broad purposes; includes	1977	\$801,547
Foundation Mail Station 1179	support for community-based programs and organizations		(\$500-
608 Second Avenue	in the area of social welfare,		(\$300- \$45,500)
Minneapolis, MN 55402	community action		Ţ ( <b>3,300</b> )

### C. Grants \$100,000 - \$500,000

Foundation Name	Purpose	Reporting Year	Grants Total & Range
Baker Foundation 4900 IDS Center Minneapolis, MN 55402	Emphasis on medical research, study of neurosurgery, and higher and secondary education; support also for community funds, aid to the handicapped, youth agencies, and music. No grants to individuals.	1976	\$157,800 (\$500- \$20,000)
James F. Bell Foundation 10,000 Highway 55 West S. J. Groves Bldg. Suite 450 Minneapolis, MN 55441		1976	\$117,783 (\$300- \$32,000)
Bemis Company Foundation 800 Northstar Center Minneapolis, MN 55402	Social welfare and health, education, cultural, civic	1976	\$393,541 (\$25- \$25,000)
Curtes L. Carlson Foundation 12755 State Highway 55 Minneapolis, MN 55441	Conservation, health, youth community development	1976	\$116,550 (\$25- \$47,470)
Dain, Kalman & Quail Foundation 100 Dain Tower Minneapolis, MN 55402	Human services, health, community affairs in areas with branch offices	1976	\$108,274 (\$10- \$7,500)
Edwin W. and Catherine M. Davis Foundation 2100 First National Bank Building St. Paul, MN 55101	Programs which ameliorate social problems; provide and increase opportunities for disadvantaged people	1976	\$338,906
Dellwood Foundation, Inc. 1212 Pioneer Building St. Paul, MN 55101	Emphasis on the arts, education, community funds, youth agencies, aid to the handicapped, and religious activities	1974	\$119,867 19 grants (\$400- \$51,668)

### Grants \$100,000 - \$500,000 (continued)

Foundation Name	Purpose	Reporting Year	Grants Total & Range
The Driscoll Foundation 2100 First National Bank Building St. Paul, MN	Includes youth welfare, community development, education, social welfare	1977	\$321,239 (\$300- \$100,000)
First National Bank of Minneapolis Foundation c/o First National Bank of Minneapolis 120 South 6th Street P.O. Box A700 Minneapolis, MN 55480	Includes social action, youth, health, education	1976	\$236,995 (\$15- \$180,500)
Gamble (B. C.) Foundation 5100 Gamble Drive Minneapolis, MN 55416	For youth agencies, medical research, the handicapped, higher and secondary education, hospitals, church support, and community funds, primarily in Minnesota. No grants to individuals.	1976	\$262,550 (\$200- \$64,700)
Grain Terminal Foundation P.O. Box 3594 St. Paul, MN 55165	Includes welfare agencies	1976	\$111,650 (\$50- \$12,800)
S. J. Groves and Sons Family Foundation 10,000 Highway 55 West S. J. Groves Bldg. Suite 450 Minneapolis, MN 55441	Includes local giving for education, health and welfare		\$122,875 (\$100- \$87,750)
Marbrook Foundation 127 South 10th Street Minneapolis, MN 55403	Tends to support established organizations with ongoing programs in areas of social welfare, health and medical research, education	1976	\$103,700 (\$200- \$20,000)

### C. Grants \$100,000 - \$500,000 (continued)

Foundation Name	Purpose	Reporting Year	Grants <u>Total &amp; Range</u>
Sumner T. McKnight Foundation NW Bank of Minneapolis Minneapolis, MN	Social welfare, including chemical dependency, minority opportunities, legal rights	1975	\$223,850 (\$500- \$47,500)
The Melamed Foundation 1000 Midwest Plaza Bldg. Minneapolis, MN 55402	Broad purposes including handicapped	1976	\$117,089 (\$10- \$63,700)
Rivers (Margaret) Fund c/o William D. Klapp First National Bank Bldg. Stillwater, MN 55082	Emphasis on Protestant church support, hospitals, youth agencies, aid to the handicapped, and community funds; grants also for care of the aged	1976	\$225,971 (\$135- \$25,000)
Skogmo (P. W.) Foundation 5100 Gamble Drive Minneapolis, MN 55416	Emphasis on a children's health center, higher education, youth agencies, aid to the handicapped, and hospitals	1976	\$490,150 (\$100- \$250,000)
The Southways Foundation 930 Dain Tower Minneapolis, MN 55402	Broad family interest, arts education	1976	\$207,955 (\$200- \$40,000)
Archie and Bertha Walker Foundation 1121 Hennepin Avenue Minneapolis, MN 55403	Includes youth and health agencies	1974	\$147,200 (\$150- \$27,000)

Grants \$50,000 - \$100,000

Foundation Name	Purpose	Reporting Year	Grants Total & Range
Edward C. Congdon Memorial Trust	General giving, social concerns	1974	\$88,000
807 Lonsdale Building Duluth, MN 55802			(\$500- \$50,000)
The Graco Foundation 60 Eleventh Avenue N.E.	Includes programs relating to vocational education,	1976	\$99,400
Minneapolis, MN 55413	rehabilitation, neighborhood services for aged and socially underprivileged		(\$100- \$40,000)
The Greystone Foundation 127 South Tenth Street	Local giving including education, community	1976	\$52,135
Minneapolis, MN 55403	service		(\$25- \$6,750)
Grotto Foundation, Inc. W-1052 First National Bank	Health, education, arts, and social welfare	1975	\$53,685
Building St. Paul, MN 55101			28 grants (\$125- \$8,400)
The Maslon Foundation 1800 Midwest Plaza	Social welfare, youth, education, etc.	1975- 1976	\$76,712
Minneapolis, MN 55402			(\$10- \$30,166)
George W. Neilson Foundation c/o Trust Department	Emphasis on organizations in Bemidji area. Working for	1975	\$56,480
Northwestern National Bank of Minneapolis 7th & Marquette Minneapolis, MN 55480	economic, social cultural betterment.		(\$300- \$20,000)
Alice M. O'Brien Foundation W-3090 First National	Broad purpose	1975	\$77,890
Bank Building St. Paul, MN 55101			17 grants (\$500-
			\$10,000)

### D. Grants \$50,000 - \$100,000 (continued)

Foundation Name	Purpose	Reporting Year	Grants Total & Range
Onan Family Foundation Box 2145 - Loop Station Minneapolis, MN 55440	Twin City giving to youth welfare education, medical, religious		\$93,162
The Elizabeth C. Quinlan Foundation, Inc.	Religious organizations, social welfare, education	1976	\$92,500
1017 Peavey Building Minneapolis, MN 55402	,		(\$100- \$10,000)
Tennant Company Foundation 701 Lilac Drive North	Includes health and human services	1976	\$77,272
Box 1452 Minneapolis, MN 55440			(\$200- \$25,000)
The Tonka Foundation 10505 Wayzata Blvd.	Social welfare, education, youth, etc.	1976	\$90,900
Hopkins, MN 55343	,		(\$240- \$32,000)
Tozer Foundation, Inc.	Broad purposes; chiefly interested in educational	1977	\$61,000
104 North Main Street Stillwater; MN 55082	projects, and aid to the handicapped		23 grants (\$100- \$10,764)
Whitney Foundation	Includes youth, education,	1976	\$58,530
1535 Dain Tower Minneapolis, MN 55402	broad areas		(\$4- \$5,000)

### E. Grants \$25,000 - \$50,000

Foundation Name	Purpose	Reporting Year	Grants Total & Range
Biem Foundation 230 Oak Grove Street Minneapolis, MN 55403	Broad purposes, limited to Minneapolis and vicinity; giving to youth agencies, the handicapped, and higher education	1977	\$44,500 19 grants (\$500- \$12,500)
Caridad Gift Trust c/o First & American Natl. Bank of Duluth Duluth, MN 55802	Youth, education	1974	\$33,450 (\$100- \$10,000)
The Hamm Foundation 1120 Northwestern Bank Bldg. St. Paul, MN 55101	Very broad	1977	\$45,000 1 grant
The Nash Foundation Northwestern National Bank 7th & Marquette Minneapolis, MN 55402	Broad local giving	1975	\$43,825 (\$50- \$1,250)
The Ring Foundation 100 North Seventh Street Minneapolis, MN 55403	Broad purposes	1974	\$30,525 (\$25-* \$3,800)
Sweatt (The Harold W.) Foundation 730 Second Avenue South Suite 506 Minneapolis, MN 55402	Includes social welfare, handicapped, education	1977	\$35,299 (\$25- \$5,000)

### POTENTIAL FUNDING SOURCES:

### NATIONAL FOUNDATIONS

Foundation Name	Purpose	Reporting Year	Grants Total & Range
The Allstate Foundation Allstate Plaza	Social welfare, religious, educational, etc.	1976	\$1,489,519 (\$10-
Northbrook, IL 60062		,	\$313,550)
Bamberger (Ruth Eleanor) and John Ernest Bamberger	Charitable or educational pur- poses; grants for higher and		\$113,888
Memorial Foundation 1401 Walker Bank Bldg.	secondary education, including scholarships, the handicapped,		114 grants (\$50-
Salt Lake City, UT 84111	hospitals and health agencies, youth and child welfare agencies		\$40,000)
Bissell (J. Walton) Foundation	General giving, with emphasis on higher and secondary education,	1975	\$234,500
29 Ten Acre Lane	including scholarship funds and		77 grants
West Hartford, CT 06107	education of handicapped persons, and on hospitals, child welfare and youth agencies, care of the aged		(\$200- \$20,750)
Bull (Henry W.) Foundation c/o Wells Fargo Bank	Grants primarily for higher education, handicapped, health,	1975	\$133,000
9600 Santa Monica Blvd. Beverly Hills, CA 90213	humane societies, and community funds		43 grants (\$500-
beverly milis, on 30213	Tonus		\$20,000)
Culpeper (Charles E.) Foundation, Inc.	Grants to organizations concerned with ecology, children's aid,	1976	\$1,045,045
866 United Nations Plaza Room 408	medical programs, education, the aged, the physically		55 grants (\$200 <del>-</del>
New York, NY 10017	handicapped		\$100,000)
Dell (The Hazel) Foundation c/o Carroll, Lane & Reed	Grants largely for hospitals, education, aid to the handicapped,	1975	\$59,000
P.O. Box 771	Roman Catholic church support,		16 grants (\$75-
Norwalk, CT 06852	child welfare and social agencies		(\$75 <b>-</b> \$9,600)

Foundation Name	Purpose	Reporting Year	Grants Total & Range
Doehla (Harry) Foundation, Inc. c/o Singer and Lusardi 370 Main Street Worcester, MA 01608	Support for education, aid to the handicapped, including the blind and mental health	1976	\$357,500 16 grants (\$500- \$75,000)
Ehrmann (Herman A. and Amelia S.) Foundation 70 Pine Street New York, NY 10005	To promote the health, welfare, happiness, and development of indigent, neglected, or handicapped children through grants to institutions	1976	\$80,640
Ettinger Foundation, Inc., The 420 Lexington Avenue New York, NY 10017	Broad purposes; general giving, with emphasis on education; grants also for aid to children with learning disabilities, community funds, the handicapped, and health agencies.	1975	\$33,850 29 grants (\$100- \$5,000)
Frueauff (Charles A.) Foundation, Inc. 70 Pine Street New York, NY 10005	Broad purposes; interest in health, including hospitals, mental health, and other health services; grants for welfare purposes, including services to children, the indigent, and the handicapped	1976	\$945,800 98 grants \$1,000- \$100,000)
Gottesman (D. S. & R. H.) Foundation 100 Park Avenue New York, NY 10017	General giving, with emphasis on higher education, local Jewish welfare funds, museums, world peace organizations, architecture and urban studies, child welfare and aid to the handicapped	1975	\$715,635 105 grants (\$25- \$133,669).
Grunfeld (Herbert) Trust 25 East 39th Street New York, NY 10016	General purposes; grants largely for higher education and Jewish welfare funds; some support also for community funds, hospitals, and aid to the handicapped	1975	\$33,625 62 grants (\$10- \$3,000)
Hearst Foundation, Inc. 888 Seventh Avenue New York, NY 10019	Broad purposes; general giving, with emphasis on hospitals, including medical education; support also for child welfare and youth agencies, the handicapped, health agencies, community funds, church support, and religious associations	1976	\$2,287,050 155 grants (\$5,000- \$50,000)

Foundation Name	Purpose	Reporting Year	Grants Total & Range
Heckscher Foundation for Children 52 Vanderbilt Avenue Room 2005 New York, NY 10017	To promote the welfare of chil- dren; grants particularly for health services, hospitals, child welfare, family service, handi- capped, relief, youth agencies	1976	\$590,309 137 grants (\$25- \$45,000)
Kearney and Trecker Foundation, Inc. 11000 Theodore Trecker Way West Allis, WI 53214	General purposes; primarily local giving, with emphasis on higher education, including scholarships, and on community funds, youth agencies, cultural programs, and the handicapped	1976	\$57 <b>,</b> 963
Leslie Fund, Inc. 3600 West Lake Avenue Glenview, IL 60025	Broad purposes; general giving, with emphasis on aid to the handicapped, education, youth agencies and child welfare, mental health, community funds, and hospitals.	1976	\$624,079 54 grants (\$50- \$348,000)
Lilly Endowment, Inc. 2801 North Meridian St. Indianapolis, IN 46208	Major program interests in education, religion, and community development. In community development, support of improved administration of criminal justice, with special attention to the problems of juveniles economic development, especially in rural areas, and among minority groups and those handicapped physically or otherwise; leadership training for both volunteer organizations and for units of local government	1976	\$51,895,000 625 grants (\$3,000- \$3,750,000)
McDonald (J. M.) Foundation, Inc. 2057 East River Road Cortland, NY 13045	Religious and educational purposes; grants for the aged, orphans, and children who are sick, infirm, blind, or crippled; youth and child care in an effort to combat juvenile delinquency and to aid underprivileged, mentally or physically handicapped children	1976	\$660,000 30 grants (\$5,000- \$125,000)
McGraw Foundation 707 Davis Road Elgin, IL 60120	Broad purposes; general giving, primarily for higher education, youth agencies, hospitals, child welfare, community funds, and the handicapped	1976	\$302,945 102 grants (\$300- \$27,000)

oundation Name	Purpose	Reporting Year	Grants Total & Range
onell (The Amborse) Foundation O Mrs. A. M. Huleck Rockerfeller Plaza W York, NY 10020	For the "improvement of the physical, mental, and moral condition of humanity throughout the world;" general giving, largely for hospitals and health services, medical and chemical research, higher and secondary education, population control, and research in political science; support also for museums, aid to the handicapped	1975	\$1,368,590 66 grants (\$1,000- \$293,590)
onterey Fund, Inc. /o Bear, Stearns & Co. 5 Water Street w York, NY 10041	Broad purposes; support largely for Jewish welfare funds, hospitals, and higher and secondary education; grants also for community funds, the handicapped, youth agencies, and the performing arts	1976	\$160,760 90 grants (\$50- \$74,000)
sborn (Edward B.) Charitable Trust O U.S. Trust Company of New York Wall Street w York, NY 10005	Grants primarily for higher education, health agencies, community funds, hospitals and medical research, historic preservation, and aid to the handicapped	1976	\$112,492 42 grants (\$100- \$15,007)
lumsock Fund 10 Guaranty Building 3 N. Meridian Street ndianapolis, IN 46204	Broad purposes; general giving, with emphasis on cultural programs, higher and secondary education, youth agencies, aid to the handicapped, and health agencies. No grants to individuals	1976	\$65,750 19 grants (\$50- \$30,000)
resto Foundation /o National Presto Industries, Inc. au Claire, WI 54701	Charitable purposes; general giving, with emphasis on an international welfare agency, scholarships and higher education, local community funds, Jewish welfare funds, hospitals and aid to handicapped	1975	\$225,003 116 grants (\$17- \$30,000)
roctor & Gamble Fund, Ol East Sixth St. incinnati, OH 45202	Grants largely for private higher education; support also for community funds, hospitals, youth agencies, cultural institutions, urban affairs and aid to the handicapped	1977	\$3,301,039 300 grants (\$100- \$64,000)

Foundation Name	Purpose	Reporting Year	Grants Total & Range
Salomon Brothers Foundation, Inc. One New York Plaza New York, NY 10004	General giving, with emphasis on urban affairs, higher and sec- ondary education, hospitals, recreation, race relations, the performing arts, the handicapped	1975	\$173,840 110 grants (\$10- \$62,500)
Schlitz Foundation, Inc. 235 West Galena Street Milwaukee, WI 53201	General giving, with emphasis on community funds and higher and secondary education; grants also for the performing arts, hospitals, church support, youth agencies, and the handicapped	1976	\$607,840 143 grants (\$100- \$125,000)
Schwartz (The Bernard Lee) Foundation, Inc. 2625 Concord Pike Wilmington, DE 19803	General giving; support for higher and secondary education, Jewish welfare funds, and aid to the handicapped	1976	\$94,440 32 grants (\$20- \$52,970)
Scott (Walter) Foundation 24 East Parkway Scarsdale, NY 10583	Broad purposes, including aid to institutions concerned with sickly, invalid, crippled, deaf or handicapped children	1977	\$120,505 36 grants (\$100- \$35,000)
Surdna Foundation Grants John E. Andrus, III Chairman Surdna Foundation 200 Park Avenue, Suite 1619 New York, NY 10017	Higher education including medical education; child welfare; youth agencies including community funds; care of children and the aged through an affiliated foundation; aid to the handicapped; mental health; and medical research	1977	\$6,616,562  90 grants (\$5,069- \$3,494,500)
Tananbaum (Martin) Foundation, Inc. c/o David T. Goldstick 551 Fifth Avenue New York, NY 10017	Grants largely for hospitals, civil rights, religious education, elementary and secondary education, higher education, health agencies, the handicapped, and the aged	1975	\$140,000 80 grants (\$500- \$30,000)
UOP Foundation Ten UOP Plaza Des Plaines, IL 60016	Charitable purposes; general giving, with emphasis on higher education, support also for community funds, cultural programs, hospitals, youth and health agencies, and aid to the handicapped	1976	\$142,373 (\$100- \$20,000)

Foundation Name	Purpose	Reporting Year	Grants Total & Range
Whiting Foundation 901 Citizens Bank Bldg.	Secular and religious education and research of all kinds; to aid	1975	\$199,265
Flint, MI 48502	and improve the physical, finan-		48 grants
· · · · · · · · · · · · · · · · · · ·	cial, mental, and moral condition		(\$100-
	of the poor, the sick, the young, the aged and the disabled among all classes		\$47,000)
Wishnick (Eli) Foundation 277 Park Avenue	Grants largely for higher education, Jewish welfare funds,	1975	\$111,395
New York, NY 10017	hospitals, aid to the handicapped, and youth agencies		107 grants (\$10- \$10,000)
			410,000)
			r
Wurzweiler (Gustav) Foundation, Inc.	Grants to Jewish philanthropic and educational institutions in the	1976	\$686,937
129 E. 73rd Street	U.S. and Israel, with emphasis on		88 grants
New York, NY 10021	higher education, research on		(\$250-
	Jewish history, religious educa- tion, hospitals, handicapped		\$145,000)